

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 23 July 2020
My Ref:
Your Ref:

Committee:
Audit Committee

Date: Friday, 31 July 2020

Time: 1.30 pm

Venue: This is a Virtual Meeting.

PLEASE USE THE LINK ON THE AGENDA TO LISTEN TO THE MEETING

Members of the public will be able to listen to this meeting by clicking on this link:

[Link to Audit Committee meeting](#)

Please note that this meeting will be made available through Microsoft Teams Live Events - your device will need to meet the minimum specification as detailed on the Microsoft website at this link: [Device Specification](#)

- You will need to download MS Teams (free) and click on the link to listen to the meeting if you are using a PC
- If using a mobile device, you will need to download the MS Teams app (free) before clicking the link
- Use the link at 1.30 pm on the day of the meeting and click on 'Join as Guest'
- You may receive an error message or a request for login details if you try to gain access before 1.30 pm

You are requested to attend the above meeting.

The Agenda is attached

Claire Porter
Director of Legal and Democratic Services (Monitoring Officer)

Members of Audit Committee

Peter Adams (Chairman)

Ioan Jones

Chris Mellings

Brian Williams (Vice Chairman)

Michael Wood

Your Committee Officer is:

Michelle Dulson Committee Officer

Tel: 01743 257719

Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meeting held on the 25 February 2020 (Pages 1 - 10)

The Minutes of the meeting held on the 25 February 2020 are attached for confirmation.

Contact Michelle Dulson (01743) 257719.

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14.

5 First line assurance : Digital Transformation Update (Pages 11 - 18)

The report of the Director of Workforce and Transformation is attached.

Contact: Michele Leith (01743) 254402

6 Second line assurance: Annual whistleblowing report (Pages 19 - 24)

The report of the Director of Workforce and Transformation is attached.

Contact: Michele Leith (01743) 254402

7 Third line assurance: Internal Audit Annual report 2019/20 (Pages 25 - 50)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

8 Third line assurance: Annual review of Internal Audit, Quality Assurance and Improvement Programme (QAIP) 2019/20 (Pages 51 - 60)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

9 Second line assurance: Financial outturn report 2019/20 (Pages 61 - 106)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

10 Second line assurance: Approval of the Council's Statement of Accounts 2019/20 (Pages 107 - 314)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

11 Overall assurance: Annual Governance Statement and a review of the effectiveness of the Council's internal controls and Shropshire's Code of Corporate Governance 2019/20 (Pages 315 - 364)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

12 Third line assurance: Annual Assurance report of Audit Committee to Council 2019/20 (Pages 365 - 380)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

13 Third line assurance: External Audit: Audit fee letter (Pages 381 - 388)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

14 Third line assurance: External Audit: Audit Plan update (Pages 389 - 394)

The report of the Engagement Lead is attached.

Contact: Richard Percival (0121) 232 5434

15 Third line assurance: External Audit: Informing the risk assessment (Pages 395 - 432)

The report of the Engagement Lead is attached.

Contact: Richard Percival (0121) 232 5434

16 Third line of assurance: External Audit: Audit progress report and sector update (Pages 433 - 448)

The report of the Engagement Lead is attached.

Contact: Richard Percival (0121) 232 5434

17 Third line assurance: External Audit: Pension fund audit plan 2019/20 (Pages 449 - 468)

The report of the Engagement Lead is attached.

Contact: Richard Percival (0121) 232 5434

18 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 17th September 2020 at 13:30 pm.

19 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

20 Exempt Minutes (Pages 469 - 472)

The Exempt Minutes of the meeting held on the 25 February 2020 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

21 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 473 - 478)

The report of the Principal Auditor is attached.

Contact: Peter Chadderton (01743) 257737